



## Holding Companies

July 22, 2020

What Must I File?

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On December 23, 2011, The Federal Reserve Board (Board) issued a final notice for a two-year phase-in period for most savings and loan holding companies (SLHCs) to file Federal Reserve regulatory reports and an exemption for some SLHCs from initially filing Federal Reserve regulatory reports. The **notice** was published on December 29, 2011 in the Federal Register.

Under the Dodd-Frank Wall Street Reform and Consumer Protection Act, supervisory and rulemaking authority for SLHCs and their nondepository subsidiaries transferred from the Office of Thrift Supervision (OTS) to the Board on July 21, 2011. During 2011, the Board requested comment on proposals to require SLHCs to submit the same reports as bank holding companies, beginning with the March 31, 2012 reporting period.

After consideration of comments, the Board has finalized the proposed collections of information from SLHCs with modifications. The final notice exempts a limited number of SLHCs from most regulatory reporting using the Board's existing regulatory reports and provides a two-year phase-in period for regulatory reporting for all other SLHCs. Exempt SLHCs would continue to submit Schedule HC, which is currently a part of the Thrift Financial Report, and the OTS H-(b)11 Annual/Current Report. Exempt firms will also file the Federal Reserve's FR Y-6, Annual Report for Bank Holding Companies, or the FR Y-7, Annual Report for Foreign Banking Organizations.

See the FR Y Reporting Series Matrix at [Complete and File Reports](#) regarding regulatory reporting information specific to SLHCs.

### Proposals for Comment

View or submit comments on [proposals](#) related to SLHCs.

### Forms and Instructions

View or download Federal Reserve [reporting forms](#).

## Electronic Filing

Submit regulatory and statistical reports to the Federal Reserve through [Reporting Central](#).

## Contact Us

For customer service regarding financial reporting, e-mail our Statistical Services department at [kcstatcs@kc.frb.org](mailto:kcstatcs@kc.frb.org) or call (800) 333-2898 or (816) 881-2898.

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