



Where Can I Learn More?

July 22, 2020

The following resources may be helpful for member banks.

Supervisory Guidance and Examination Manuals

SR Letter 11-13: Guidance Regarding Prior Notices with Respect to Dividend Declarations by Savings
Associations with SLHCs

SR Letter 11-12: Deregistration Procedures for Certain Savings and Loan Holding Companies

SR Letter 11-11/CA 11-5: Supervision of Savings and Loan Holding Companies (SLHCs)

Other Applicable Supervisory Guidance

The Board of Governors (Board) is reviewing Office of Thrift Supervision (OTS) guidance and it has found that much of the OTS guidance is similar to Board issued guidance or was issued on an interagency basis. As noted in **SR 11-11/CA 11-5**, the Board intends to publish more detailed information about the application of Board supervisory guidance to SLHCs at a later date. The principles that will be used to supervise SLHCs during the first supervisory cycle are largely set forth in:

SR Letter 13-21: Inspection Frequency and Scope Requirements for Bank Holding Companies and Savings and Loan Holding Companies with Total Consolidated Assets of \$10 Billion or Less

SR Letter 13-13/CA Letter 13-10: Supervisory Considerations for the Communication of Supervisory Findings

SR Letter 09-4: Applying Supervisory Guidance and Regulations on the Payment of Dividends, Stock Redemptions, and Stock Repurchases at Bank Holding Companies

SR Letter 08-9/CA Letter 08-12: Consolidated Supervision of Bank Holding Companies and the Combined U.S. Operations of Foreign Banking Organizations

SR Letter 04-18: Bank Holding Company Rating System

SR Letter 12-17/CA 12-14: Consolidated Supervision Framework for Large Financial Institutions

SR Letter 97-24: Risk-Focused Framework for Supervision of Large Complex Institutions

Federal Reserve Bank Holding Company Supervision Manual:

- Section 2010 (supervision of subsidiaries)
- Section 2020 (intercompany transactions)
- Section 4010 (parent company financial factors)
- Section 4060 (consolidated earnings)
- Section 4070 (BHC rating system)Section 5000 (BHC inspection program)

Policy Statements/Notices of Intent/Proposals

Notice of Intent to Apply Certain Supervisory Guidance to SLHCs

Notice of Intent to Continue Application of Regulations to SLHCs

Interim Final Rule Establishing Regulations to SLHCs

Proposals Regarding SLHC Regulatory Reporting

Learn More

Computer-based training modules applicable to various areas of banking and banking regulation are available. Specific modules of interest to SLHC directors and management include:

Internal/External Audit

Bank Director Training and Related Resources

The **Federal Reserve Regulatory Service** contains all Board regulations plus related statuses, interpretations, policy statements, rulings and staff opinions. Available as a four-volume loose-leaf set or on CD-ROM. For those with specialized interests, the four parts of this service are published separately as handbooks.