



Application Filing Information - Savings and Loan Holding Company

January 19, 2021

Application Filing Information

Savings and loan holding companies (SLHCs) and mutual holding companies (MHC) must notify the Federal Reserve or obtain prior approval before engaging in certain transactions, including formations, acquisitions, changes in control involving certain shareholders, financial activities, and reorganization exemption requests.

Applicants are strongly encouraged to [contact us from the contacts listed on the Applications and Membership page](#) for information prior to the submission of an application. Filing forms and general guidance and instructions for savings and loan holding company and mutual holding company filings can be accessed using the following links:

[Formation/Expansion](#)

[Change in Control](#)

[Financial Activities Filings](#)

[Reorganization Exemption Request](#)

[Notice of Addition or Change in Directors or Senior Executive Officers](#)

MHC transactions requiring prior approval include: reorganizations, formation of a subsidiary holding company, minority stock issuance, full mutual to stock conversion with a holding company formation; second step mutual to stock conversion; charter/bylaw amendments; and MHC dividend waivers. Filing forms and instructions for MHC filings can be accessed using the following links:

[Mutual Holding Company Reorganization](#)

[Formation of Subsidiary Holding Company](#)

Minority Stock Issuance

Full Mutual to Stock Conversion with Holding Company Formation

Second Step Mutual to Stock Conversion

Charter/Bylaw Amendments

Mutual Holding Company Dividend Waiver

Applicants are highly encouraged to use FedEZFile - a secure web-based system that allows organizations to submit applications to the Federal Reserve online. [Click here](#) for details.
